

# Electron correlation

definition

$$E^{\text{corr.}} = E^{\text{exact}} - E^{\text{HF}}$$

Configuration Interaction

sum over all possible Slater determinants/spin adapted-configuration state functions

general expression for correlation energy (not so useful...)

truncated CI

MCSCF/CASSCF

size consistency

Perturbation theory

Slater determinants, single, double, triple, ... excitations

Combinations of CI and PT

MCSCF with  $x$ th order perturbation ( $x=2$ )

Concludes the ab initio, next is density functional theory

# Configuration interaction

restricted Hartree Fock in nutshell

one particle, mean field theory

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one particle, mean field theory

Slater determinant (Pauli principle)

$$\psi_0(\mathbf{r}) = \det [\phi_1(\mathbf{r}_1)\bar{\phi}_1(\mathbf{r}_2)\phi_2(\mathbf{r}_3)\bar{\phi}_2(\mathbf{r}_4)....\phi_{n/2}(\mathbf{r}_{n-1})\bar{\phi}_{n/2}(\mathbf{r}_n)]$$

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molecular orbitals

$$\hat{f}\phi_i(\mathbf{r}) = \epsilon_i\phi_i(\mathbf{r})$$

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molecular orbitals

$$\hat{f}\phi_i(\mathbf{r}) = \epsilon_i\phi_i(\mathbf{r})$$

Fock operator

$$f_{ij} = \langle \phi_i(\mathbf{r}_1) | h(\mathbf{r}_1) | \phi_j(\mathbf{r}_1) \rangle + \sum_k \left\langle \phi_i(\mathbf{r}_1) \phi_k(\mathbf{r}_2) \left| \frac{2 - \hat{p}_{12}}{|\mathbf{r}_1 - \mathbf{r}_2|} \right| \phi_k(\mathbf{r}_1) \phi_j(\mathbf{r}_2) \right\rangle$$

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basisset

$$\phi_i(\mathbf{r}_1) = \sum_{\alpha} c_{i\alpha} \chi_{\alpha}(\mathbf{r}_1)$$

density matrix

$$\hat{f} = h + \frac{1}{2} \sum_{\lambda} \sum_{\sigma} P_{\lambda\sigma} \left\langle \chi_{\sigma} \left| \frac{2 - \hat{p}_{12}}{|\mathbf{r}_1 - \mathbf{r}_2|} \right| \chi_{\lambda} \right\rangle$$

$$P_{\mu\nu} = 2 \sum_a^{\frac{1}{2}n_e} c_{\mu a} c_{\nu a}^*$$

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restricted Hartree Fock in nutshell

one particle, mean field theory

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$$\hat{f}\phi_i(\mathbf{r}) = \epsilon_i\phi_i(\mathbf{r})$$

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$$f_{ij} = \langle \phi_i(\mathbf{r}_1) | h(\mathbf{r}_1) | \phi_j(\mathbf{r}_1) \rangle + \sum_k \left\langle \phi_i(\mathbf{r}_1) \phi_k(\mathbf{r}_2) \left| \frac{2 - \hat{p}_{12}}{|\mathbf{r}_1 - \mathbf{r}_2|} \right| \phi_k(\mathbf{r}_1) \phi_j(\mathbf{r}_2) \right\rangle$$

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$$P_{\mu\nu} = 2 \sum_a^{\frac{1}{2}n_e} c_{\mu a} c_{\nu a}^*$$

iterative self-consistent solution procedure

# Configuration interaction

restricted Hartree Fock in nutshell

one particle, mean field theory, no e-e correlation

Slater determinant

$$\psi_0(\mathbf{r}) = \det [\phi_1(\mathbf{r}_1)\bar{\phi}_1(\mathbf{r}_2)\phi_2(\mathbf{r}_3)\bar{\phi}_2(\mathbf{r}_4)....\phi_{n/2}(\mathbf{r}_{n-1})\bar{\phi}_{n/2}(\mathbf{r}_n)]$$

# Configuration interaction

restricted Hartree Fock in nutshell

one particle, mean field theory

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electron-electron correlation

static correlation

large separation of electrons in pair (i.e. H<sub>2</sub> dissociation)

near degeneracies: different spatial wavefunctions

multi-configuration SCF

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restricted Hartree Fock in nutshell

one particle, mean field theory

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dynamic correlation

short distance: cusp

not so dependent on orbitals/density

perturbation theory

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electron-electron correlation

static correlation

large separation of electrons in pair

near degeneracies: different spatial wavefunctions

multi-configuration SCF

dynamic correlation

short distance: cusp

not so dependent on orbitals/density

perturbation theory

simple distinction not always possible

# Beyond Hartree-Fock: Configuration Interaction

one-electron basisset

$$\Phi(\mathbf{x}_1) = \sum_i a_i \phi_i(\mathbf{x}_1)$$

two-electron basisset

$$\Phi(\mathbf{x}_1, \mathbf{x}_2) = \sum_i a_i(\mathbf{x}_2) \phi_i(\mathbf{x}_1)$$

$$\Phi(\mathbf{x}_1, \mathbf{x}_2) = \sum_i \sum_j b_{ij} \phi_i(\mathbf{x}_1) \phi_j(\mathbf{x}_2)$$

# correlation energy

## configuration interaction

functions of one electron

expansion in one-electron functions

$$\phi(x_1) = \sum_i a_i \chi_i(x_1)$$

functions of two electrons

# correlation energy

configuration interaction

functions of one electron

expansion in one-electron functions

$$\phi(x_1) = \sum_i a_i \chi_i(x_1)$$

functions of two electrons

expansion in one-electron functions, keeping second electron fixed

$$\phi(x_1, x_2) = \sum_i a_i(x_2) \chi_i(x_1)$$

# correlation energy

configuration interaction

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expansion in one-electron functions

$$\phi(x_1) = \sum_i a_i \chi_i(x_1)$$

functions of two electrons

expansion in one-electron functions, keeping second electron fixed

$$\phi(x_1, x_2) = \sum_i a_i(x_2) \chi_i(x_1)$$

expansion of coefficients in one-electron functions

$$a(x_2) = \sum_j b_{ij} \chi_j(x_2)$$

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configuration interaction

functions of one electron

expansion in one-electron functions

$$\phi(x_1) = \sum_i a_i \chi_i(x_1)$$

functions of two electrons

expansion in one-electron functions, keeping second electron fixed

$$\phi(x_1, x_2) = \sum_i a_i(x_2) \chi_i(x_1)$$

expansion of coefficients in one-electron functions

$$a(x_2) = \sum_j b_{ij} \chi_j(x_2)$$

so that

$$\phi(x_1, x_2) = \sum_i \sum_j b_{ij} \chi_i(x_1) \chi_j(x_2)$$

# correlation energy

## configuration interaction

### functions of two electrons

Pauli principle

$$\phi(x_1, x_2) = -\phi(x_2, x_1)$$

# correlation energy

configuration interaction

functions of two electrons

Pauli principle

$$\phi(x_1, x_2) = -\phi(x_2, x_1)$$

antisymmetric superposition

$$\phi(x_1, x_2) = \sum_i \sum_{j>i} b_{ij} [\chi_i(x_1)\chi_j(x_2) - \chi_j(x_1)\chi_i(x_2)]$$

# correlation energy

## configuration interaction

### functions of two electrons

Pauli principle

$$\phi(x_1, x_2) = -\phi(x_2, x_1)$$

antisymmetric superposition

$$\phi(x_1, x_2) = \sum_i \sum_{j>i} b_{ij} [\chi_i(x_1)\chi_j(x_2) - \chi_j(x_1)\chi_i(x_2)]$$

in determinants

$$\phi(x_1, x_2) = \sum_i \sum_j \frac{1}{\sqrt{2}} b_{ij} \det [\chi_i(x_1)\chi_j(x_2)]$$

# correlation energy

## configuration interaction

### functions of two electrons

Pauli principle

$$\phi(x_1, x_2) = -\phi(x_2, x_1)$$

antisymmetric superposition

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in determinants

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in general

n-electron wavefunction

$$\Psi_i = \sum_j C_{ij} \psi_j = C_{i0} \psi_0 + \sum_{ra} C_{ia}^r \psi_a^r + \sum_{\substack{a < b \\ r < s}} C_{iab}^{rs} \psi_{ab}^{rs} + \dots$$

# correlation energy

full configuration interaction

exact solution (within finite basisset)

$$\Psi_i = \sum_j C_{ij} \psi_j = C_{i0} \psi_0 + \sum_{ra} C_{ia}^r \psi_a^r + \sum_{\substack{a < b \\ r < s}} C_{iab}^{rs} \psi_{ab}^{rs} + \dots$$

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truncated configuration interaction

CI Singles

excited states (higher roots)

no correlation in ground state

CI Singles Doubles

stronger correlation in ground state

CI Singles Doubles Triples

stronger correlation in excited states than in ground state

...

too expensive: number of configurations blows up

# correlation energy

truncated configuration interaction

CI Singles, Double, Triples ...

systematic

number of configurations blows up

# correlation energy

truncated configuration interaction

CI Singles, Double, Triples ...

systematic

number of configurations blows up

multi-configuration SCF

multiple configurations

free to choose, not black box!

optimize both CI and MO coefficients

$$\Psi_i = \sum_j^M C_{ij} \psi_j.$$

Slater

# correlation energy

truncated configuration interaction

CI Singles, Double, Triples ...

systematic

number of configurations blows up

multi-configuration SCF

multiple configurations

free to choose, not black box!

optimize both CI and MO coefficients

basis for higher level methods

MultiReferenceCI

$$\Phi = \sum_I (K_I \Psi_I + \sum_{i,a} K_{Iia} \Psi_{Iia} + \sum_{i,a,j,b} K_{Iiajb} \Psi_{Iiajb} + \dots)$$

a root in MCSCF

of Slaters in each configuration in root

$$\Psi_i = \sum_j^M C_{ij} \psi_j.$$

Slater

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perturbation theory: CASPT2

$$\Psi_i = \sum_j^M C_{ij} \psi_j.$$

# correlation energy

multi-configuration SCF

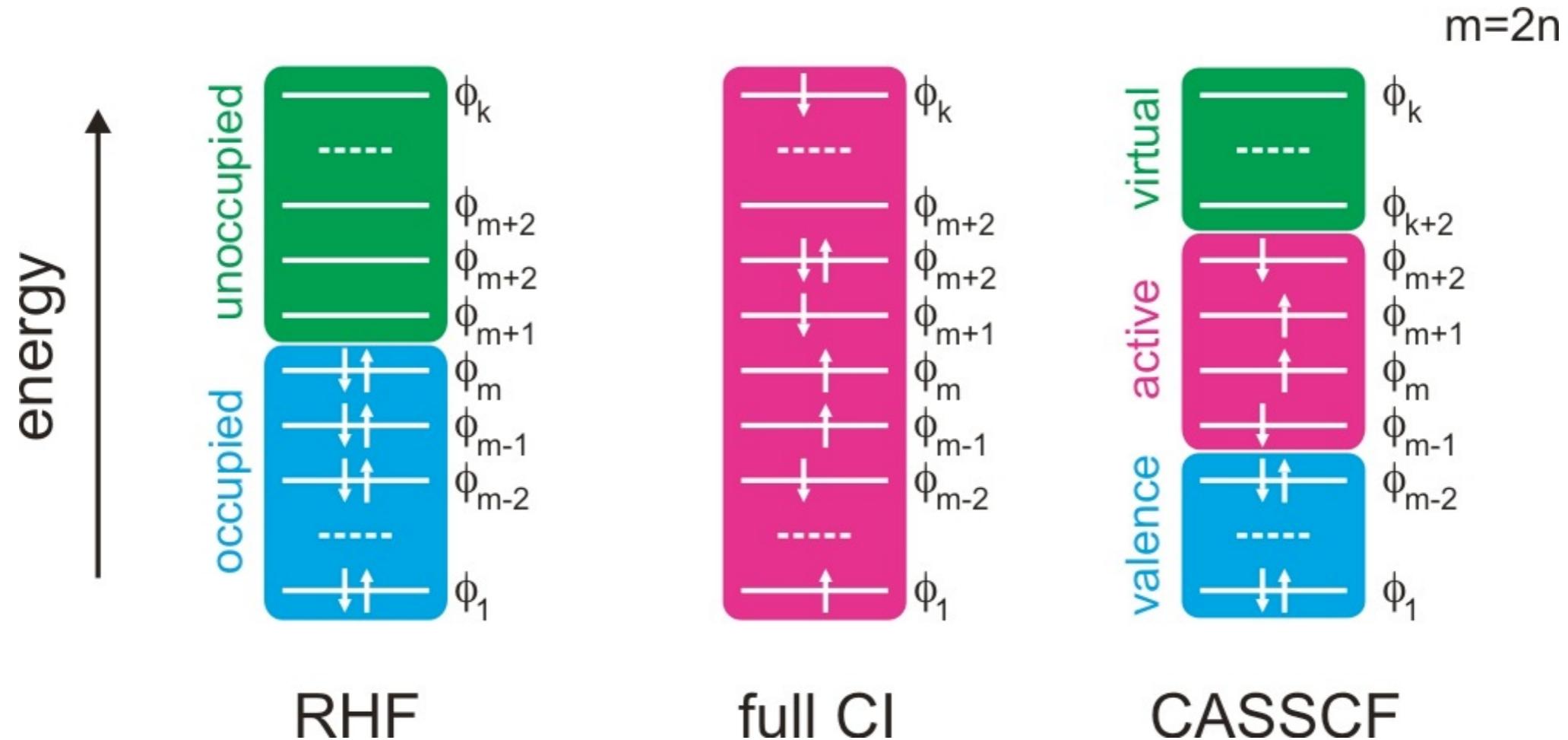
multiple configurations

free to choose

not black box

Complete Active Space SCF

select orbitals for full CI



correlation energy

multi-configuration SCF

Complete Active Space SCF

select electrons and orbitals for full CI (HF, NBO)

knowledge/intuition/luck

correlation energy

multi-configuration SCF

Complete Active Space SCF

select electrons and orbitals for full CI (HF, NBO)

knowledge/intuition/luck/

# correlation energy

## multi-configuration SCF

### Complete Active Space SCF

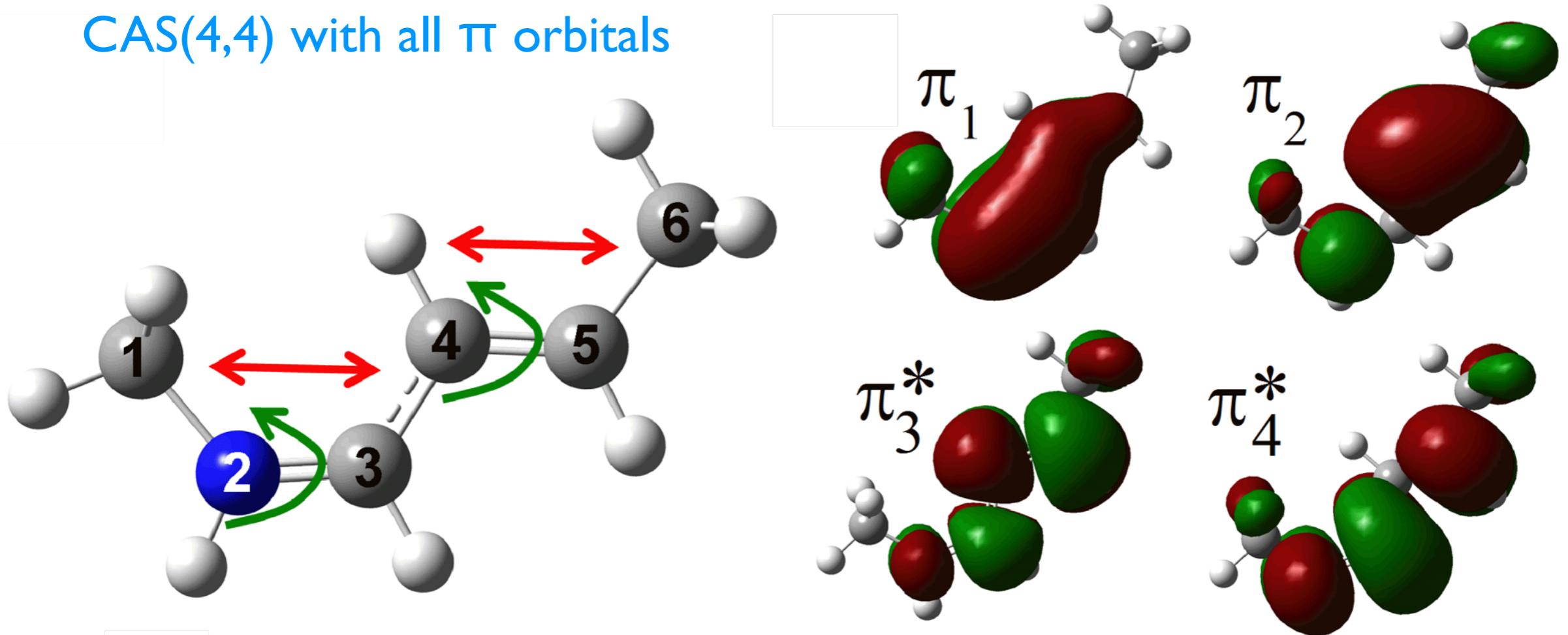
select electrons and orbitals for full CI (HF, NBO)

knowledge/intuition/luck

### example

protonated schiff base

CAS(4,4) with all  $\pi$  orbitals



# correlation energy

CASSCF

recovers static correlation

near degeneracy

# correlation energy

CASSCF

recovers static correlation

near degeneracy

excited states

optimize second root in CI

# correlation energy

CASSCF

recovers static correlation

near degeneracy

excited states

optimize second root in CI

problem: need expectation values involving both states

$$\langle \psi_{S_0} | \nabla_{\mathbf{R}} H | \psi_{S_1} \rangle$$

# correlation energy

CASSCF

recovers static correlation

near degeneracy

excited states

optimize second root in CI

problem: need expectation values involving both states

$$\langle \psi_{S_0} | \nabla_{\mathbf{R}} H | \psi_{S_1} \rangle$$

problem: root flipping

# correlation energy

## CASSCF

recovers static correlation

near degeneracy

excited states

optimize second root in CI

problem: need expectation values involving both states

$$\langle \psi_{S_0} | \nabla_{\mathbf{R}} H | \psi_{S_1} \rangle$$

problem: root flipping

state average CASSCF

same molecular orbitals for all states

optimize the weighted average energy

$$E^{\text{SA}} = \sum_i \omega_i E_i$$

average density matrix

# correlation energy

## SA-CASSCF

same molecular orbitals for all states

sometimes orbitals change drastically upon excitation

wavefunction is not variational minimum

$$\nabla_{\mathbf{R}} E = \nabla_{\mathbf{R}} \langle \psi | H | \psi \rangle$$

$$= \langle \nabla_{\mathbf{R}} \psi | H | \psi \rangle + \langle \psi | \nabla_{\mathbf{R}} H | \psi \rangle + \langle \psi | H | \nabla_{\mathbf{R}} \psi \rangle$$

# correlation energy

## SA-CASSCF

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$$= \langle \nabla_{\mathbf{R}} \psi | H | \psi \rangle + \langle \psi | \nabla_{\mathbf{R}} H | \psi \rangle + \langle \psi | H | \nabla_{\mathbf{R}} \psi \rangle$$

$$\langle \nabla_{\mathbf{R}} \psi | H | \psi \rangle = \langle \nabla_{\mathbf{c}_{\text{MO}}} \psi | H | \psi \rangle \nabla_{\mathbf{R}} \mathbf{c}_{\text{MO}} +$$

$$\langle \nabla_{\mathbf{c}_{\text{CI}}} \psi | H | \psi \rangle \nabla_{\mathbf{R}} \mathbf{c}_{\text{CI}} +$$

# beyond Hartree Fock

## Configuration Interaction

linear combination of Slater determinants

$$\begin{aligned}\Psi = & |\psi_0\rangle + \sum_a^r c_a^r |\psi_a^r\rangle + \sum_a^r \sum_{b>a}^{s>r} c_{ab}^{rs} |\psi_{ab}^{rs}\rangle \\ & + \sum_a^r \sum_{b>a}^{s>r} \sum_{c>b}^{t>s} c_{abc}^{rst} |\psi_{abc}^{rst}\rangle \\ & + \sum_a^r \sum_{b>a}^{s>r} \sum_{c>b}^{t>s} \sum_{d>c}^{u>t} c_{abcd}^{rstu} |\psi_{abcd}^{rstu}\rangle + \dots\end{aligned}$$

intermediate normalization

$$\langle \Psi | \psi_0 \rangle = 1$$

size consistent, only if *all* determinants are included

impossible in practice!

variational in  $c$

# Beyond Hartree-Fock: Configuration Interaction

one-electron basisset

$$\Phi(\mathbf{x}_1) = \sum_i a_i \phi_i(\mathbf{x}_1)$$

two-electron basisset

$$\Phi(\mathbf{x}_1, \mathbf{x}_2) = \sum_i a_i(\mathbf{x}_2) \phi_i(\mathbf{x}_1)$$

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$n$ -electron basisset

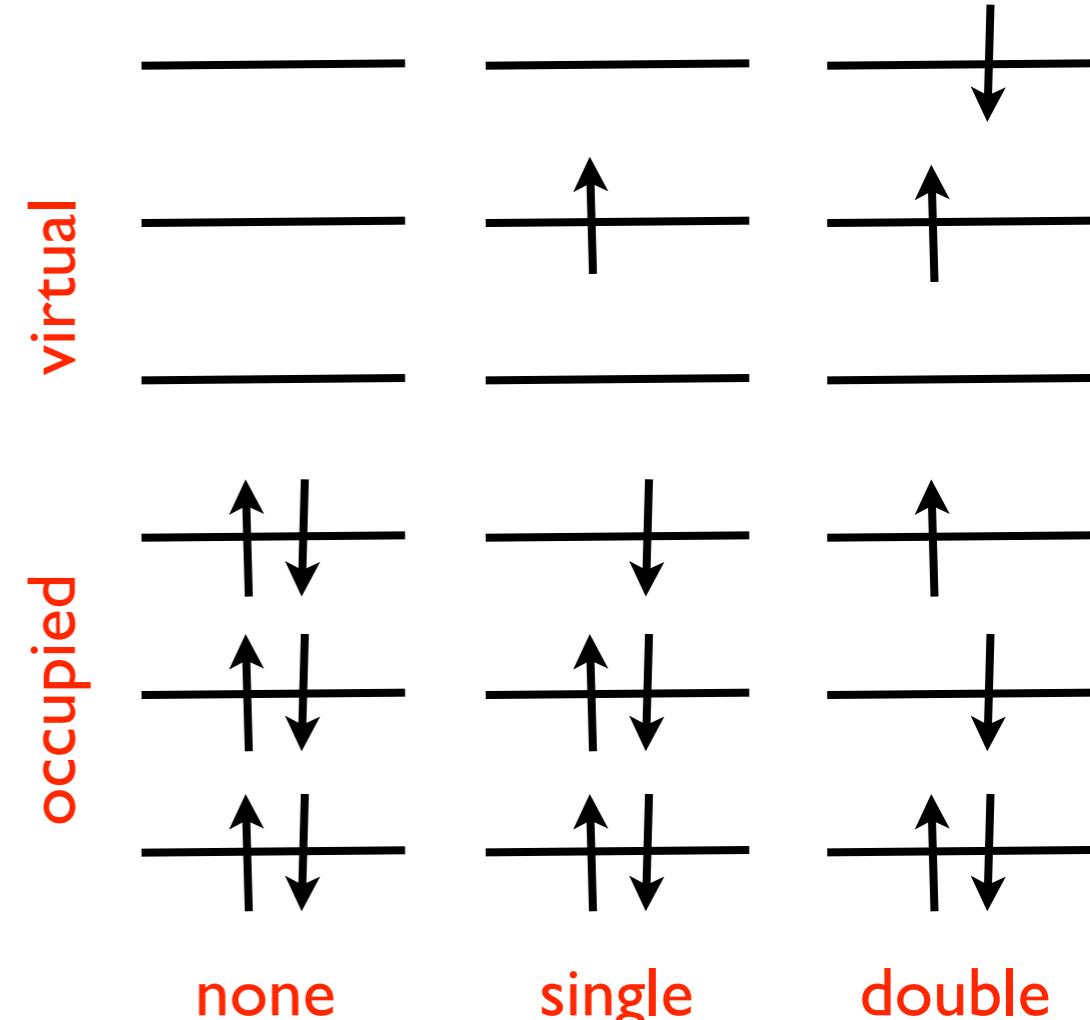
slater determinants, single, double, triple, ... excitations

$$\Phi = |\Psi_0\rangle + \sum_a^{\text{n\_occ}} \sum_r^{\text{n\_virt}} c_a^r |\Psi_a^r\rangle + \sum_a^{\text{n\_occ}} \sum_b^{\text{n\_occ}} \sum_r^{\text{n\_virt}} \sum_s^{\text{n\_virt}} c_{ab}^{rs} |\Psi_{ab}^{rs}\rangle + \dots$$

Brillouin's theorem

$$\langle \Psi_0 | \hat{H} | \Psi_a^r \rangle = 0$$

truncate: CIS, CISD, CISDT, CISDTQ, ... (size inconsistent!!)



# beyond Hartree Fock

## Configuration Interaction

linear combination of Slater determinants

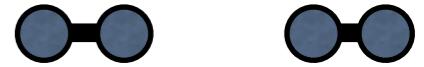
$$\begin{aligned}\Psi = & |\psi_0\rangle + \sum_a^r c_a^r \hat{X}_a^r |\psi_0\rangle + \sum_a^r \sum_{b>a}^{s>r} c_{ab}^{rs} \hat{X}_{ab}^{rs} |\psi_0\rangle \\ & + \sum_a^r \sum_{b>a}^{s>r} \sum_{c>b}^{t>s} c_{abc}^{rst} \hat{X}_{abc}^{rst} |\psi_0\rangle \\ & + \sum_a^r \sum_{b>a}^{s>r} \sum_{c>b}^{t>s} \sum_{d>c}^{u>t} c_{abcd}^{rstu} \hat{X}_{abcd}^{rstu} |\psi_0\rangle + \dots\end{aligned}$$

truncation of full CI to limit computational effort

CISD, CISDT, CISDTQ, ...

not size consistent because not all determinants are included

# beyond Hartree Fock



Full Configuration Interaction expansion

1

2

all determinants included

example H<sub>2</sub> and 2 H<sub>2</sub>

$$1 \text{ H}_2: \Psi^1 = |\phi_1^1 \alpha \phi_1^1 \beta\rangle + c_{1_1 \bar{1}_1}^{2_1 \bar{2}_1} |\phi_2^1 \alpha \phi_2^1 \beta\rangle$$

$$= |1_1 \bar{1}_1\rangle + c_{1_1 \bar{1}_1}^{2_1 \bar{2}_1} |2_1 \bar{2}_1\rangle$$

$$2 \text{ H}_2: \Psi^{12} = |1_1 \bar{1}_1 1_2 \bar{1}_2\rangle + c_{1_1 \bar{1}_1}^{2_1 \bar{2}_1} |2_1 \bar{2}_1 1_2 \bar{1}_2\rangle + c_{1_2 \bar{1}_2}^{2_2 \bar{2}_2} |1_1 \bar{1}_1 2_2 \bar{2}_2\rangle$$

$$+ c_{1_1 \bar{1}_1 1_2 \bar{1}_2}^{2_1 \bar{2}_1 2_2 \bar{2}_2} |2_1 \bar{2}_1 2_2 \bar{2}_2\rangle$$

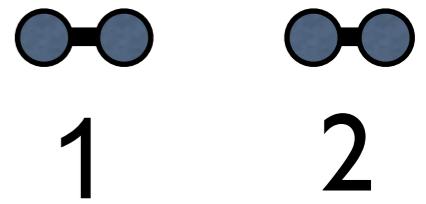
Truncated Configuration Interaction expansion

only include HF, single and doubly excited determinants

$$2 \text{ H}_2: \Psi^{12} = |1_1 \bar{1}_1 1_2 \bar{1}_2\rangle + c_{1_1 \bar{1}_1}^{2_1 \bar{2}_1} |2_1 \bar{2}_1 1_2 \bar{1}_2\rangle + c_{1_2 \bar{1}_2}^{2_2 \bar{2}_2} |1_1 \bar{1}_1 2_2 \bar{2}_2\rangle$$

# beyond Hartree Fock

Full CI



all determinants included

$$\Psi^{12} = |1_1 \bar{1}_1 1_2 \bar{1}_2\rangle + c_{1_1 \bar{1}_1}^{2_1 \bar{2}_1} |2_1 \bar{2}_1 1_2 \bar{1}_2\rangle + c_{1_2 \bar{1}_2}^{2_2 \bar{2}_2} |1_1 \bar{1}_1 2_2 \bar{2}_2\rangle$$

$$+ c_{1_1 \bar{1}_1 1_2 \bar{1}_2}^{2_1 \bar{2}_1 2_2 \bar{2}_2} |2_1 \bar{2}_1 2_2 \bar{2}_2\rangle$$

at large separation: independent molecules!

$$\Psi^{12} = \Psi^1 \Psi^2$$

$$= \left( |1_1 \bar{1}_1\rangle + c_{1_1 \bar{1}_1}^{2_1 \bar{2}_1} |2_1 \bar{2}_1\rangle \right) \left( |1_2 \bar{1}_2\rangle + c_{1_2 \bar{1}_2}^{2_2 \bar{2}_2} |2_2 \bar{2}_2\rangle \right)$$

$$= |1_1 \bar{1}_1\rangle |1_2 \bar{1}_2\rangle + c_{1_1 \bar{1}_1}^{2_1 \bar{2}_1} |2_1 \bar{2}_1\rangle |1_2 \bar{1}_2\rangle + c_{1_2 \bar{1}_2}^{2_2 \bar{2}_2} |1_1 \bar{1}_1\rangle |2_2 \bar{2}_2\rangle$$

$$+ c_{1_1 \bar{1}_1}^{2_1 \bar{2}_1} c_{1_2 \bar{1}_2}^{2_2 \bar{2}_2} |2_1 \bar{2}_1\rangle |2_2 \bar{2}_2\rangle$$

# Coupled Clusters

idea

approximate the quadruples coefficients by doubles...

$$c_{abcd}^{rstu} \approx c_{ab}^{rs} * c_{cd}^{tu}$$

due to anti-symmetry there are 18 such terms:

$$c_{abcd}^{rstu} \approx c_{ab}^{rs} c_{cd}^{tu} - c_{ac}^{rs} c_{bd}^{tu} + c_{ad}^{rs} c_{bc}^{tu} + \dots$$

coupled-clusters doubles wavefunction

$$\Psi^{CCD} = \prod_{ab,rs} (1 + c_{ab}^{rs} X_{ab}^{rs}) |\psi_0\rangle$$

non-linear expansion in coefficients

all  $(2n)$ -tuply excited determinants included: size consistent!

only uses doubles coefficients

non-variational

# Coupled Clusters

idea

approximate the n-tuply coefficients by singles, doubles, ..

$$c_{abcd}^{rstu} \approx c_{ab}^{rs} * c_{cd}^{tu}$$

$$c_{abcde}^{rstuv} \approx c_{ab}^{rs} * c_{cd}^{tu} * c_e^v$$

$$c_{abcdef}^{rstuvw} \approx c_{ab}^{rs} * c_{cd}^{tu} * c_{ef}^{vw}$$

general case

non-linear expansion in coefficients and excitations

$$\Psi^{CC} = [\Pi_{a,r}(1 + c_a^r X_a^r)] [\Pi_{ab,rs}(1 + c_{ab}^{rs} X_{ab}^{rs})] \dots |\psi_0\rangle$$

identical to full CI if all possible excitation levels are considered

# Coupled Clusters

general case

non-linear expansion in coefficients and excitations

$$\Psi^{CC} = [\Pi_{a,r}(1 + c_a^r X_a^r)] [\Pi_{ab,rs}(1 + c_{ab}^{rs} X_{ab}^{rs})] \dots |\psi_0\rangle$$

identical to full CI if all possible excitation levels are considered

close to full CI otherwise, because all excitations are approximately included

restrict excitation level to doubles: CCSD

$$\Psi^{CCSD} = [\Pi_{a,r}(1 + c_a^r X_a^r)] [\Pi_{ab,rs}(1 + c_{ab}^{rs} X_{ab}^{rs})] |\psi_0\rangle$$

all n-tuply excited determinants included: size consistent!

only singles and doubles coefficients needed

optimization of coefficients

# Coupled Clusters

general case

non-linear expansion in coefficients and excitations

$$\Psi^{CC} = [\Pi_{a,r}(1 + c_a^r X_a^r)] [\Pi_{ab,rs}(1 + c_{ab}^{rs} X_{ab}^{rs})] \dots |\psi_0\rangle$$

exponential ansatz

since

$$\hat{X}_{ab}^{rs} \hat{X}_{ab}^{rs} = 0$$

$$\exp(x) = 1 + x + \frac{1}{2}x^2 + \frac{1}{6}x^3 + \dots$$

we can invert the Taylor series

$$1 + c_{ab}^{rs} \hat{X}_{ab}^{rs} = 1 + c_{ab}^{rs} \hat{X}_{ab}^{rs} + \frac{1}{2}(c_{ab}^{rs})^2 \hat{X}_{ab}^{rs} \hat{X}_{ab}^{rs} + \dots = \exp(c_{ab}^{rs} \hat{X}_{ab}^{rs})$$

to recast the coupled clusters expansion

$$\Psi^{CC} = \Pi_{a,r} \exp(c_a^r \hat{X}_a^r) \Pi_{ab,rs} \exp(c_{ab}^{rs} \hat{X}_{ab}^{rs}) \dots |\psi_0\rangle$$

$$\Psi^{CC} = \exp \left( \sum_{a,r} c_a^r \hat{X}_a^r + \sum_{ab,rs} c_{ab}^{rs} \hat{X}_{ab}^{rs} + \dots \right) |\psi_0\rangle$$

# Coupled Clusters

exponential ansatz of CC

$$\Psi^{CC} = \exp \left( \sum_{a,r} c_a^r \hat{x}_a^r + \sum_{ab,rs} c_{ab}^{rs} \hat{X}_{ab}^{rs} + \dots \right) |\psi_0\rangle$$

restrict excitation level up to doubles: CCSD

$$\Psi^{CCSD} = \exp \left( \sum_{a,r} c_a^r \hat{x}_a^r + \sum_{ab,rs} c_{ab}^{rs} \hat{X}_{ab}^{rs} \right) |\psi_0\rangle$$

includes all excited determinants: size consistent!

only uses singles and doubles coefficients

single reference methods

works only if HF is reasonable approximation

fails if ground state has multi-configurational character: dissociation!

UHF reference

